

Open Access Collection Development Policy

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Purpose

Open Access and Collections

The following policy, drafted by Collection Management and the Office of Scholarly Communication, outlines basic policies and principles regarding the addition of open access content to supplement Woodruff Library's current collection development policy, as well as the support of emerging Open Access monograph and journal programs and models. The policy is divided into two parts: (1) financial support for open access initiatives, and (2) identification and selection of open access content for addition to the collection and OPAC.

Woodruff Library committed to the support and promotion of open access by way of providing open access materials in our collection and supporting open access publishing efforts of faculty members and the greater research and publishing community. These open access materials enhance and supplement traditional resources that are purchased/owned, leased or created by the library.

Support of OA Collection Initiatives

Numerous new and innovative open access initiatives from established and new publishers and presses (e.g. http://oad.simmons.edu/oadwiki/Publishers_of_OA_books) are being marketed and piloted. There a number of models emerging in this area.

The following guidelines should inform selectors and collection managers as to what content initiatives are to be supported by Emory's libraries. Funds for support of these initiatives will likely come be requested from the Common Good fund.

- The content should be within our current collection development guidelines (i.e, would we purchase this content if it were fee-based to support the curricular and research needs of the Emory Community)? *Note that there are cases that even when content may not match Emory curricular needs that Emory may support due to the general nature of the initiative.*
- A sustainable and equitable business model that does not require exorbitant costs on the part of the author or the library
- Library financial support should be based on a cost/content ratio (fees compared in proportion to the content received)
- The company/organization providing the OA content should be reputable and follow the OASPA Code of Conduct (<http://oaspa.org/membership/code-of-conduct/>)?
- The publisher/provides includes a preservation policy in place for the preservation of their open access titles
- For for-profit publishers with open access branches, see note below re: support of individual researchers/scholars.

Support of each model will be reassessed on an annual basis to determine If terms of agreement or support (financial or otherwise) are still beneficial to Emory.

Support for Individual Faculty/Researchers

Although Emory Libraries may not be able to financially foster or support library participation in all notable initiatives, the Library may support individual authors efforts to publish open-access monographs or journal articles. Emory University's Open Access Publishing Fund provides funds to make it easier for Emory authors to publish in eligible open access (OA) journals and books when no alternative funding is available. For eligibility and criteria, please see <https://open.library.emory.edu/authors/oa-fund/>. For example, Emory may provide some subvention funds for authors wishing to publish with for-profit publishers, such as Springer and Cambridge, who have established new open monograph initiatives. *Emory will not provide general funds to these for-profit initiatives.*

Open-Access & Open-Resource Collection Additions

Note that the policies for ingesting below currently refer primarily to Woodruff collection policies, but could easily be adopted by other libraries.

Relevant open access content identified as within our collection development policies will be added to our collections in the same way as purchased content. As emphasized above, the content should be in line with our current [collection development guidelines](#).

Requests for OA Journals are not required as Emory Libraries have activated the Directory of Open Access Journals (DOAJ) as a target in SFX, and these titles are included in DiscoverE. For other content, including open access digital projects and monographs, the following procedures should apply. Please note that all content from supported initiatives will be added to the catalog, and need not be requested. Some of these titles or collections may not have MARC records associated with, but rather other metadata schema (e.g., Dublin Core), and may require a crosswalk into MARC21. A workflow with Technical Services will be established to best manage the incorporation of such records into ALMA.

- Requests should go through CMTAG, as we are committing staff time to catalog and manage
- Rather than requests for individual open access monographs, it is recommended that entire publisher content (or subject collections) be requested through CMTAG
- Weeding of content (i.e., no longer functioning links to content) should take place concurrently with other link checks in the ILS
- Open-access monographs authored by Emory faculty should be considered for local preservation.
- All titles in the Directory of Open Access Books (DOAB) should be catalogued (3,364 titles).